

# Research Literacy

HIVE mind 11/16/22

With Katie Krebs, MPH

# Tonight's plan

- Intro
- Research Ethics
- Evidence and Ways of Knowing
- Evidence Based Practice
- Critiquing Research

# Research Ethics - Is it human subjects research?

**Research, defined by DHHS is:** *“a systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge.”*

**Human Subject, defined by DHHS is:** *“a living individual about whom an investigator (whether professional or student) conducting research: obtains information or specimens through intervention or interaction with the individual, and uses, studies, or analyzes the biospecimens; OR obtains, uses, studies, analyzes, or generates identifiable private information or identifiable biospecimens.”*

# Research Ethics - Is it human subjects research?

**Scenario 1:** A school conducts a quarterly survey of current students, collecting information about those students and their experience at the school, analyzes the results and uses them to improve school policy and student services.

Is it **Research**?

- Systematic Investigation?
- Designed to develop or contribute to generalizable knowledge?

Does it involve **Human Subjects**?

- Living individuals
  - Information or specimens obtained through intervention or interaction
  - Information or specimens used, studied or analyzed
- OR
- Living individuals
  - Identifiable, private information or identifiable biospecimens are obtained, used, studied, analyzed, or generated

# Research Ethics - Is it human subjects research?

**Scenario 2:** A midwifery student conducts a phone survey of local midwives, analyzes the results, and writes up a report drawing conclusions about how to build a sustainable midwifery practice.

Is it **Research**?

- Systematic Investigation?
- Designed to develop or contribute to generalizable knowledge?

Does it involve **Human Subjects**?

- Living individuals
  - Information or specimens obtained through intervention or interaction
  - Information or specimens used, studied or analyzed
- OR
- Living individuals
  - Identifiable, private information or identifiable biospecimens are obtained, used, studied, analyzed, or generated

# Research Ethics - Is it human subjects research?

**Scenario 3:** An individual opens up a social media app, reads a few posts about folks' experiences and writes an interest piece about how to avoid unnecessary interventions.

Is it **Research**?

- Systematic Investigation?
- Designed to develop or contribute to generalizable knowledge?

Does it involve **Human Subjects**?

- Living individuals
  - Information or specimens obtained through intervention or interaction
  - Information or specimens used, studied or analyzed
- OR
- Living individuals
  - Identifiable, private information or identifiable biospecimens are obtained, used, studied, analyzed, or generated

# Research Ethics - Is it human subjects research?

**Scenario 4:** A midwifery student works with a professor to collect and analyze information about causes of maternal and infant mortality, using medical records.

Is it **Research**?

- Systematic Investigation?
- Designed to develop or contribute to generalizable knowledge?

Does it involve **Human Subjects**?

- Living individuals
  - Information or specimens obtained through intervention or interaction
  - Information or specimens used, studied or analyzed
- OR
- Living individuals
  - Identifiable, private information or identifiable biospecimens are obtained, used, studied, analyzed, or generated

# Research Ethics - Is it human subjects research?

**Scenario 5:** A midwifery practice collects information on infant feeding rates along with health outcome and demographic information about the infant and the feeding parent. This data is used to generate a report with best practice *recommendations for other* midwifery practices

Is it **Research**?

- Systematic Investigation?
- Designed to develop or contribute to generalizable knowledge?

Does it involve **Human Subjects**?

- Living individuals
  - Information or specimens obtained through intervention or interaction
  - Information or specimens used, studied or analyzed
- OR
- Living individuals
  - Identifiable, private information or identifiable biospecimens are obtained, used, studied, analyzed, or generated



# Research Ethics - The Belmont Principles

- July 12, 1974 - National Research Act created the National Commission for the Protection of Human Subjects of Biomedical and Behavioral Research.
- Tasked with outlining basic ethical principles for research
- They were directed to consider:
  - Difference between research and medicine
  - Role of risk/benefit assessment in determining appropriateness of research
  - Guidelines for selection of research subjects
  - Nature and definition of informed consent

# Research Ethics - The Belmont Principles

01

## Respect for Persons

- Individual autonomy
- Special protection for protected classes
- Informed consent

02

## Beneficence

- Do not harm
- Maximize potential benefits
- Minimize potential harms
- Risk/benefit analysis

03

## Justice

- Burdens and benefits of research are even
- Subject selection

# Evidence and Ways of Knowing



**Research  
Evidence**

**Indigenous  
ways of  
Knowing**

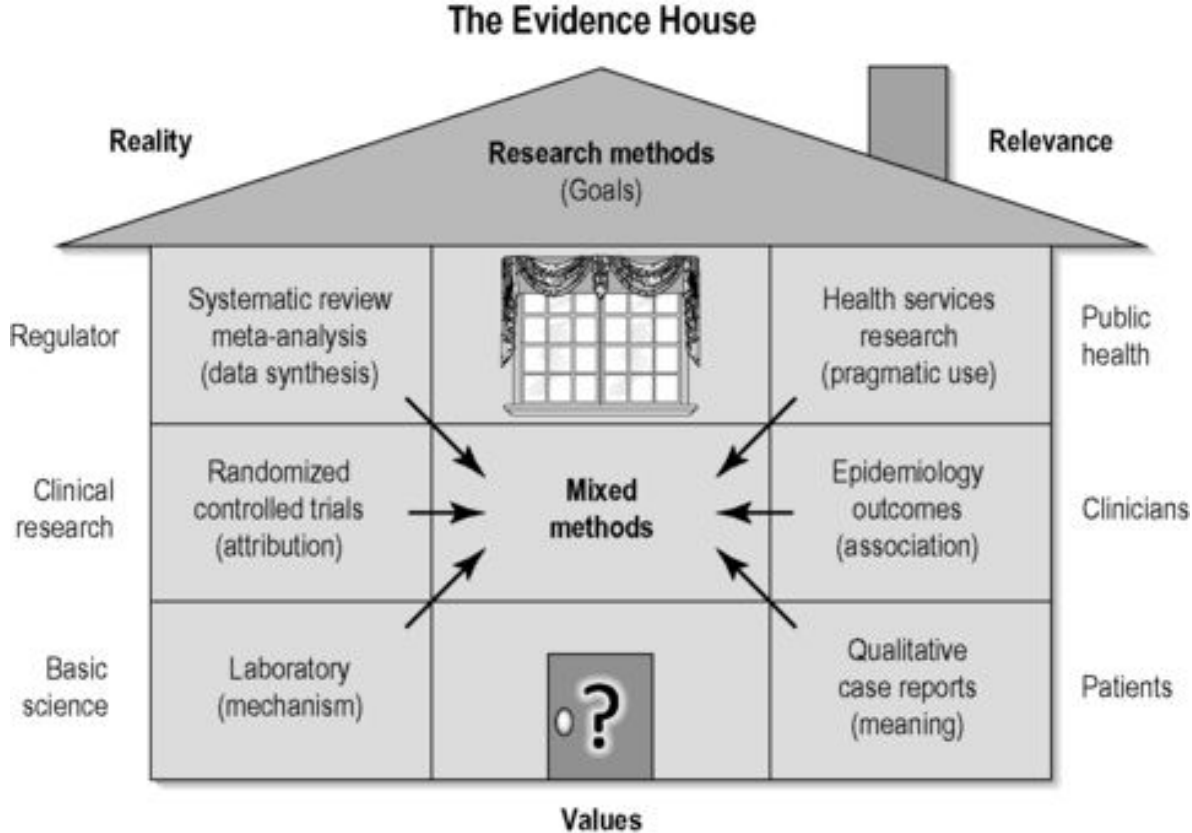
**Clinical  
Experience +  
Apprenticeship**



Image credit: Marcie Hopkins - University of Utah Health

[Evidencebasedbirth.com](https://accelerate.uofuhealth.utah.edu/improvement/what-is-evidence-based-practice), <https://accelerate.uofuhealth.utah.edu/improvement/what-is-evidence-based-practice>

# Evidence - Research



<https://clinicalgate.com/toward-standards-of-evidence-for-cam-research-and-practice/>

# Evidence - Research vs. Clinical Expertise

| <b>Clinical Research</b>                | <b>Clinical Experience</b>                                   |
|---|--|
| Population Based (“universal”)          | Individualized (“personal”)                                  |
| Relates to general rules (abstract)     | Focused on specific patient (concrete)                       |
| Public - available for all to comment   | Tacit - passed on through apprenticeship                     |
| “Democratic” - allowing scrutiny by all | “Authoritative” - supporting a hierarchy based on experience |
| “Threat” to physician autonomy          | Basis of physician autonomy                                  |

Adapted from [“Clinical Jazz: Harmonizing Clinical Experience and Evidence-Based Medicine”](#)

# Evidence - Challenges to consider

| Clinical Research   | Clinical Experience   |
|---|---|
| Designed to favor one approach over another and form a recommendation - patients who differ from those in the study may not respond as well               | False attribution - lack of control group - “did it work because of what I did, or something else?”                                 |
| Designed to be objective and free of judgement, but judgement is a critical part of patient care decisions  | Forming clinical impressions is often based on estimate - we don't do the math as we go   |
| Focuses on efficacy - is this drug/procedure more effective, better. Does not account for economics, patient preferences, ethical issues, access, culture | Forming clinical impressions usually happens after consistent experience with a few individuals and then may lead to practice shift |
| Rigor over Relevance - designed to meet the standards of research, not necessarily to address certain clinical issues or patient types                    | Rose colored glasses - we see what we hope and expect to see, our patients tell or show us what they believe we want to see         |

Adapted from [“Clinical Jazz: Harmonizing Clinical Experience and Evidence-Based Medicine”](#)

Evidence - POEMs

**Patient  
Oriented  
Evidence that  
Matters**

Adapted from "[Clinical Jazz: Harmonizing Clinical Experience and Evidence-Based Medicine](#)"



# Critiquing Research

| Reliability  | Validity   | Bias   | Rigor   |
|--|--|--|---|
| Accuracy and consistency of measurements   | Are we measuring what we think we're measuring                                 | An external factor that erroneously influences our results                             | How well the study is designed and conducted  |
| <ul style="list-style-type: none"><li>• Elastic string to measure length</li><li>• Racialized groups</li></ul> | <ul style="list-style-type: none"><li>• BMI</li><li>• Proxy measures</li></ul> | <ul style="list-style-type: none"><li>• Recall bias</li><li>• Selection bias</li></ul> | <ul style="list-style-type: none"><li>• Collection tools - how are questions asked? By whom</li></ul> |